



PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001		Complete if Known	
Patent fees are subject to annual revision.		Application Number	(Unassigned)
		Filing Date	November 29, 2000
		First Named Inventor	Joseph D. Kunzeman ET AL.
		Examiner Name	
		Group Art Unit	
		Attorney Docket No.	00-693
TOTAL AMOUNT OF PAYMENT (\$)		840	

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 03-1129 Deposit Account Name: <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other			
FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid	
101 710 201 355 Utility filing fee 710		105 130 205 65 Surcharge - late filing fee or oath	
106 320 206 160 Design filing fee		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
107 490 207 245 Plant filing fee		139 130 139 130 Non-English specification	
108 710 208 355 Reissue filing fee		147 2,520 147 2,520 For filing a request for ex parte reexamination	
114 150 214 75 Provisional filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
SUBTOTAL (1) (\$) 710		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
2. EXTRA CLAIM FEES		115 110 215 55 Extension for reply within first month	
Total Claims 16 -20** = 0 X 18 = 0		116 390 216 195 Extension for reply within second month	
Independent Claims 2 -3** = 0 X 80 = 0		117 890 217 445 Extension for reply within third month	
Multiple Dependent =		118 1,390 218 695 Extension for reply within fourth month	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		128 1,890 228 945 Extension for reply within fifth month	
103 18 203 9 Claims in excess of 20		119 310 219 155 Notice of Appeal	
102 80 202 40 Independent claims in excess of 3		120 310 220 155 Filing a brief in support of an appeal	
104 270 204 135 Multiple dependent claim, if not paid		121 270 221 135 Request for oral hearing	
109 80 209 40 ** Reissue independent claims over original patent		138 1,510 138 1,510 Petition to institute a public use proceeding	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		140 110 240 55 Petition to revive - unavoidable	
SUBTOTAL (2) (\$) 0		141 1,240 241 620 Petition to revive - unintentional	
*or number previously paid, if greater; For Reissues, see above		142 1,240 242 620 Utility issue fee (or reissue)	
		143 440 243 220 Design issue fee	
		144 600 244 300 Plant issue fee	
		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Processing fee under 37 CFR 1.17(q)	
		126 180 126 180 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		179 710 279 355 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify) _____	
		SUBTOTAL (3) (\$) 130	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Thomas L. Derry	Registration No. (Attorney/Agent)	46,660
Signature	<i>Thomas L. Derry</i>	Telephone	(309) 675-1029
		Date	03/06/2001

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Receipt

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PTO/SB/21 (08-00)
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/800,284
	Filing Date	11/29/2000
	First Named Inventor	Joseph D. Kunzeman ET AL.
	Group Art Unit	3612
	Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number 00-693

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): REQUEST FOR CORRECTION OF FILING DATE (A conversion under 37 CFR 153 (c) (3) was improperly recorded as a New Application Claiming Benefit of the Provisional Application)
Remarks		RECEIVED SEP 06 2001 TO 3600 MAIL ROOM

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Thomas L. Derry, Registration No. 46,660
Signature	<i>Thomas L. Derry</i>
Date	May 25, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope address to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 05/25/2001			
Typed or printed name	Thomas L. Derry		
Signature	<i>Thomas L. Derry</i>	Date	5-25-2001

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	(Unassigned)
	Filing Date	November 29, 2000
	First Named Inventor	JOSEPH D. KUNZEMAN ET AL.
	Group Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	00-693

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration Request/Petition Under CFR 1.53(c)
Remarks		This is a request to convert provisional application Serial No. 60/253,964, filed November 29, 2000, to a non-provisional application.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Thomas L. Derry, Registration No. 46,660
Signature	<i>Thomas L. Derry</i>
Date	March 6, 2001

U.S. POSTAL EXPRESS-MAILING LABEL NO. EL661474315US	
This correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this date: 03/06/2001	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 00-693

Peoria, Illinois 61629-6490

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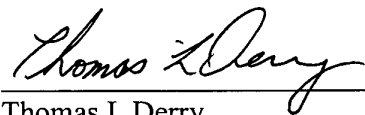
The following is a quotation of 37 CFR 1.53 (c) (3): "A provisional application filed under paragraph (c) of this section may be converted to a non-provisional

application filed under paragraph (b) of this section and accorded the original filing date of the original application. The conversion of a provisional application to a non-provisional application will not result in either the refund of any application fee properly paid in the provisional application or the application of any such fee to the filing fee, or any other fee for the non-provisional application. A request to convert a provisional application to a non-provisional application must be accompanied by the fee set forth in § 1.17(i) and an amendment including at least one claim as prescribed by the second paragraph of 35 U.S.C. 112, unless the provisional application under paragraph (c) of this section otherwise contains at least one claim as prescribed by the second paragraph of 35 U.S.C. 112."

Attached are photocopies of the following supplemental information relating to the original request for conversion of a provisional application to a non-provisional application:

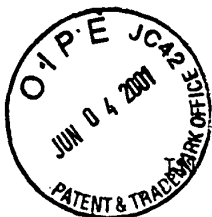
1. REQUEST FOR CONVERSION OF PROVISIONAL TO NON-PROVISIONAL APPLICATION dated March 3, 2001
2. FILING RECEIPT dated 04/19/2001 (with requested changes indicated thereon)
3. TRANSMITTAL form submitted with request under 37 CFR 1.53 (c) dated 03/06/2001
4. FEE TRANSMITTAL dated 03/06/2001

Respectfully submitted,



Thomas L Derry
Registration No. 46,660
Caterpillar Inc.

Telephone: (309) 675-1029
Facsimile: (309) 675-1236
Enclosures



PATENT APPLICATION

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
JOSEPH D. KUNZEMAN ET AL.)
Application No. 60/253.964)
Filed: November 29, 2000)
For: APPARATUS AND METHOD FOR)
REDUCING WORK MACHINE NOISE BASED)
ON LOCATION)
Attorney Docket No. 00-693L)

Peoria, Illinois 61629-6490
March 6, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

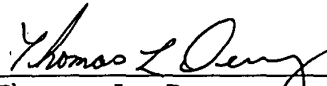
REQUEST FOR CONVERSION OF PROVISIONAL
TO NON-PROVISIONAL APPLICATION
(37 C.F.R. § 1.53(c)(3))

Sir:

Please convert the above identified provisional
application filed to a non-provisional application under 37
C.F.R. § 1.53(c)(3).

A Declaration executed by the inventor(s) is
enclosed.

Respectfully submitted,


Thomas L. Derry
Reg. No.: 46,660
Caterpillar Inc.

Telephone: (309)
Facsimile: (309) 675-1236
T:\VLM\00-693CONV.DOC

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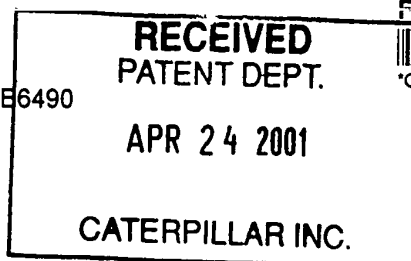
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WASHINGTON, D.C. 20231
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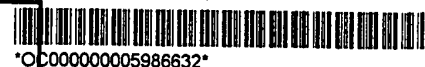
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/800,284	03/06/2001 11/29/2000	3612	710	00-693L	2	16	2

CONFIRMATION NO. 4655

Thomas L. Derry
Caterpillar Inc.
Intellectual Property Department, AE6490
100 N.E. Adams Street
Peoria, IL 61629-6490



FILING RECEIPT



Date Mailed: 04/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Joseph D. Kunzeman, Decatur, IL;
Thomas L. Derry, Edwards, IL;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/253,964 11/29/2000

*This Application is a
conversion of 60/253,964*

Foreign Applications

If Required, Foreign Filing License Granted 04/17/2001

Projected Publication Date: 09/12/2002

Non-Publication Request: No

Early Publication Request: No

Title

Apparatus and method for reducing work machine noise based on location

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Preliminary Class

298

Data entry by : DABA, JOSEP

Team : OIPE

Date: 04/19/2001



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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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